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4WENMM/SB/21 (12/00)

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

| | | | |
|--|---|----------------------|--------------|
| Total Number of Pages in this Submission | 4 | Application Number | 09/849,659 |
| | | Filing Date | May 4, 2001 |
| | | First Named Inventor | GLOODT, Cary |
| | | Group Art Unit | 3751 |
| | | Examiner Name | T. Nguyen |

Attorney Docket Number 41006-8

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment Response | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> To Convert a Provisional Application | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure (please identify below) |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | <input checked="" type="checkbox"/> Return Receipt Postcard |
| <input type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Submission of Publication Fee |
| <input type="checkbox"/> Certified Copy of Priority Documents | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |
| | Remarks | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual Name | C. John Brannon Woodard, Embardt, Naughton, Moriarty & McNett |
| Signature | |
| Date | February 13, 2002 |

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington D.C. 20231 on this date: February 13, 2002

| | | | |
|-----------------------|----------------------------------|------|-------------------|
| Typed or printed name | C. John Brannon, Reg. No. 44,557 | | |
| Signature | | Date | February 13, 2002 |

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WENMM/SB/17 (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
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U.S. PATENT & TRADEMARK OFFICE

FEE TRANSMITTAL FOR FY 2002

Patent fees are subject to annual revision.

| | |
|-------------------------|-------------|
| Total Amount of Payment | (\$ 300.00) |
|-------------------------|-------------|

| | |
|------------------------|---------|
| Attorney Docket Number | 41006-8 |
|------------------------|---------|

METHOD OF PAYMENT

| | |
|--|---|
| 1. <input type="checkbox"/> | The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: |
| Deposit Account Number | 23-3030 |
| Deposit Account Name | Woodard, Emhardt, Naughton, Moriarty & McNett |
| <input checked="" type="checkbox"/> Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. | |

| | |
|--|-------------------|
| 2. <input checked="" type="checkbox"/> | Payment Enclosed: |
| <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other | |

FEE CALCULATION (continued)

| 3. ADDITIONAL FEES | | | | | Fee Description | Fee Paid | |
|------------------------------------|-----------------|----------------|-----------------|--|--|----------|--|
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | | | | |
| 105 | 130 | 205 | 65 | | Surcharge – late filing fee or oath | | |
| 127 | 50 | 227 | 25 | | Surcharge – late provisional filing fee or cover sheet. | | |
| 139 | 130 | 139 | 130 | | Non-English specification | | |
| 147 | 2,520 | 147 | 2,520 | | For filing a request for <i>ex parte</i> reexamination | | |
| 112 | 920* | 112 | 920* | | Requesting publication of SIR prior to Examiner | | |
| 113 | 1,840* | 113 | 1,840* | | Requesting publication of SIR after Examiner action | | |
| 115 | 110 | 215 | 55 | | Extension for reply within first month | | |
| 116 | 400 | 216 | 200 | | Extension for reply within second month | | |
| 117 | 920 | 217 | 460 | | Extension for reply within third month | | |
| 118 | 1,440 | 218 | 720 | | Extension for reply within fourth month | | |
| 128 | 1,960 | 228 | 980 | | Extension for reply within fifth month | | |
| 119 | 320 | 219 | 160 | | Notice of Appeal | | |
| 120 | 320 | 220 | 160 | | Filing a brief in support of an appeal | | |
| 121 | 280 | 221 | 140 | | Request for oral hearing | | |
| 138 | 1,510 | 138 | 1,510 | | Petition to institute a public use proceeding | | |
| 140 | 110 | 240 | 55 | | Petition to revive – unavoidable | | |
| 141 | 1,280 | 241 | 640 | | Petition to revive – unintentional | | |
| 142 | 1,280 | 242 | 640 | | Utility issue fee (or reissue) | | |
| 143 | 460 | 243 | 230 | | Design issue fee | | |
| 144 | 620 | 244 | 310 | | Plant issue fee | | |
| 122 | 130 | 122 | 130 | | Petitions to the Commissioner | | |
| 123 | 50 | 123 | 50 | | Petitions related to provisional applications | | |
| 126 | 180 | 126 | 180 | | Submission of Information Disclosure Stmt | | |
| 581 | 40 | 581 | 40 | | Recording each patent assignment per property (times number of properties) | | |
| 146 | 740 | 246 | 370 | | Filing a submission after final rejection (37 CFR 1.129(a)) | | |
| 149 | 740 | 249 | 370 | | For each additional invention to be examined (37 CFR 1.129(b)) | | |
| 179 | 740 | 279 | 370 | | Request for Continued Examination (RCE) | | |
| 169 | 900 | 169 | 900 | | Request for expedited examination of a design application | | |
| Publication fee | | | | | 300 | | |
| Other Fee (specify) | | | | | | | |
| * Reduced by Basic Filing Fee Paid | | | | | SUBTOTAL (3) (\$ 300 | | |

**or number previously paid, if greater; For Reissues, see above*

| SUBMITTED BY | | Complete (if applicable) | | |
|-------------------|-----------------|-----------------------------------|--------|--------------------------|
| Name (Print/Type) | C. John Brannon | Registration No. (Attorney/Agent) | 44,557 | Telephone (317) 634-3456 |
| Signature | | | Date | February 13, 2002 |

Warning: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 202031.